



**NORTHMOOR ACRES HOME OWNERS ASSOCIATION
POLICY AND PROCEDURE FOR INSPECTION AND COPYING OF ASSOCIATION RECORDS**

SUBJECT: Adoption of a Policy and Procedure for the inspection and copying of Association records by Members.

PURPOSE: To adopt a policy regarding a Member's right to inspect and copy Association records and a standard procedure to be followed when a Member chooses to inspect or copy Association records.

AUTHORITY: The Declaration of Covenants, Conditions and Restrictions, Articles of Incorporation, and Bylaws of the Association and Colorado law.

EFFECTIVE

DATE: May 19, 2022

RESOLUTION: The Association hereby adopts the following Policy and Procedure:

1. **Records for Inspection.** The following are the records of the Association which shall be deemed to be the sole records of the Association for purposes of inspection by Members:
 - a. Records of receipts and expenditures affecting the operation and administration of the Association;
 - b. Records of claims for construction defects and amounts received pursuant to settlement of any such claims;
 - c. Minutes of all meetings of Members;
 - d. Minutes of all meetings of Board members (except records of executive sessions of the Board);
 - e. Records of actions taken by the Members without a meeting;
 - f. Records of actions taken by the Board without a meeting, including written communications and e-mails among Board members that are directly related to the action so taken;
 - g. Records of actions taken by any committee of the Board without a meeting;
 - h. A list of the names of the Members in a form that permits preparation of a list of the names and mailing addresses of all Members, as well as the number of votes each Member is entitled to vote;
 - i. The Association's governing documents which are comprised of:
 - i. The declaration;
 - ii. The bylaws;
 - iii. The articles of incorporation;
 - iv. Any rules and regulations and/or design guidelines; and
 - v. Any policies adopted by the Board, including the Association's responsible governance policies.
 - j. Financial statements for the last three years, which at a minimum shall include the balance sheet, the income/expense statement, and the amount held in reserves for the prior fiscal year;
 - k. Tax returns for the last seven years, to the extent available;
 - l. The operating budget for the current fiscal year;



- m. A list, by Lot type, of the Association's current assessments, including both regular and special assessments;
- n. The result of the Association's most recent available financial audit or review, if any;
- o. A list of the Association's insurance policies, which shall include the company names, policy limits, policy deductibles, additional named insured, and expiration dates of the policies listed;
- p. A list of the names, e-mail addresses and mailing addresses of the current Board members and officers;
- q. The most recent annual report delivered to the Secretary of State;
- r. A ledger of each Member's assessment account;
- s. The most recent reserve study, if any;
- t. Current written contracts and contracts for work performed for the Association within the prior two years;
- u. Records of Board or committee actions to approve or deny any requests for design or architectural approval from Members;
- v. Ballots, proxies and other records related to voting by Members for one year after the election, vote or action to which they relate;
- w. Policies adopted by the Board;
- x. All written communications sent to all Members generally within the past three years;
- y. A record showing the date on which the Association's fiscal year begins;
- z. A list of the current amounts of all unique and extraordinary fees, assessments, and expenses that are chargeable by the Association in connection with the purchase of sale of a Lot and are not paid for through assessments, including transfer fees, record change fees, and the charge for status letter or statement of assessments due; and
- aa. All documents included in the Association's annual disclosures made pursuant to Section 38-33.3-209.4.

2. **Inspection / Copying Association Records - Exclusions.**

- a. The Association **may** withhold from inspection and copying certain records as provided by Colorado law, and which shall not be deemed to be records of the Association, which shall include, but are not limited to:
 - i. Architectural drawings, plans and designs, unless released upon the written consent of the owner of such drawings, plans or designs;
 - ii. Contracts, leases, bids or records related to transactions currently under negotiation;
 - iii. Communications with legal counsel that are otherwise protected by the attorney-client privilege or the attorney work product doctrine;
 - iv. Records of executive sessions of the Board;
 - v. Individual Lot files other than those of the requesting Members.
- b. The Association **shall** withhold from inspection and copying the following records as provided by Colorado law:
 - i. Personnel, salary or medical records relating to Individuals;
 - ii. Personal identification and account information of Members, including bank account information, driver's license numbers, social security numbers, email addresses and telephone numbers.



Notwithstanding the above, if a Member or resident has provided the Association with his or her express written consent to disclose his or her email address or phone number, the Association may publish that information to other Members or residents. If the Member or resident revokes his or her consent in writing, the Association shall cease making available for inspection the Member's or residents' email address or phone number after the receipt of such revocation, but the Association need not change, retrieve or destroy any document or record published by the Association prior to the Association's receipt of such revocation.

3. **Inspection/Copying Association Records**. A Member or his/her authorized agent is entitled to inspect and copy any of the books and records of the Association, as listed above, subject to the exclusions set forth above, upon submission of a written request to the Association describing with reasonable particularity the records sought. The Association shall provide access to the requested records by:
 - a. Making the requested records available for inspection and copying by the Member within 10 days of the Association's receipt of such written request, which inspection shall be during regular business hours at a location determined by the Board of Directors; or
 - b. Making the requested records available for inspection and copying by the Member during the next regularly scheduled Board meeting occurring within 30 days of the Member's request; or
 - c. E-mailing the requested records to the Member within 10 days of the Association's receipt of such written request, if so requested by the Member.
4. **Use of Records**. Association records and the information contained within the records shall not be used for commercial purposes. Furthermore, while Members are not required to state a purpose for any request to inspect the records of the Association, the membership list may not be used for any of the following without the consent of the Board:
 - a. To solicit money or property unless such money or property will be used solely to solicit the votes of the Members in an election held by the Association;
 - b. For any commercial purpose; or
 - c. Sold to or purchased by any person.
5. **Fees/Costs**. Any Member requesting copies of Association records shall be responsible for all actual costs incurred by the Association to copy such records for the Member. The Association may require a deposit equal to the anticipated actual cost of the requested records. Failure to pay such deposit shall be valid grounds for denying a Member copies of such records. If after payment of the deposit it is determined that the actual cost was more than the deposit, the Member shall pay such amount prior to delivery of the copies. If after payment of the deposit it is determined that the actual cost was less than the deposit, the difference shall be returned to the Member with the copies.
6. **Inspection**. The Association reserves the right to have a third party present to observe during any inspection of record by a Member or the Member's representative.
7. **Original**. No Member shall remove any original book or record of the Association from the place of inspection nor shall any Member alter, destroy or mark in any manner, any original book or record of the Association.




8. **Creation of Records.** Nothing contained in this Policy shall be construed to require the Association to create records that do not exist or compile or synthesize information.
9. **Definitions.** Unless otherwise defined in this Policy, initially capitalized or terms defined in the Declaration shall have the same meaning herein.
10. **Supplement to Law.** The provisions of this Policy shall be in addition to and in supplement of the terms and provisions of the Declaration and the law of the State of Colorado governing the Community.
11. **Deviations.** The Board may deviate from the procedures set forth in this Policy if in its sole discretion such deviation is reasonable under the circumstances.
12. **Amendment.** This Policy may be amended from time to time by the Board of Directors.

**PRESIDENT'S
CERTIFICATION:**

The undersigned, being the President of Northmoor Acres Home Owners Association, a Colorado nonprofit corporation, certifies that the foregoing Policy was approved and adopted by the Board of Directors of the Association, at a duly called and held meeting of the Board of Directors on May 19, 2022 and in witness thereof, the undersigned has subscribed his name.

Northmoor Acres Home Owners Association,
a Colorado nonprofit corporation

By: 

Jim Kienholz, President



**NORTHMOOR ACRES HOME OWNERS ASSOCIATION
REQUEST FORM FOR INSPECTION OF RECORDS**

Please return this form completed, dated and signed to Northmoor Acres Home Owners Association by:

- Mail to P.O. Box 158, Johnstown, CO 80534; OR
- Scan and email to board@northmooracres.com

Please check:

I am requesting ____ inspection and/or ____ copies of the following official Northmoor Acres records:

I understand and accept that I will be charged to cover the costs of labor and material (collected in advance) for the production for inspection and/or copies of Northmoor Acres HOA records that I have requested. Labor is a minimum of \$30/hour, copies are \$0.25/page for standard 8 1/2 x11.

I understand and agree that I will not use these Northmoor Acres HOA records for commercial purposes or any purpose unrelated to an Member's interest as an owner.

Member Signature

Date

Member Printed name

Northmoor Acres Lot Address

Having received the cost estimate of (amount) _____, I choose to confirm my request to inspect/receive copies of records described (circle one): Yes No Cancel Request

Member Signature

Date

HOA USE ONLY:

Date completed request form received: _____ Date approved: _____

Date denied (in whole or in part): _____ If denied, provide reason(s):

Amount prepaid: _____ Balance/refund (circle one) due before inspection/release.

Records Sent by: (circle one) US Mail Email Other _____

Date sent: _____

Signature of person producing records for inspection/sending records:
